THE NEW YORK STATE TRAUMA SYSTEM

TRAUMA IS A DISEASE

- Accidental Death and Disability: The Neglected Disease of Modern Society was published in 1966 by the National Academy of Sciences
 - 52 million accidents resulted in 107,000 deaths and 400,000 temporarily disabled persons
- Injury in America: A Continuing Public Health Problem was published in 1985 by the National Research Council
 - Trauma was not an insoluble problem

TRAUMA CARE AS A NATIONAL PROBLEM

- Rural trauma patients have more than a 25% reduced chance of survival
- ♦ 21.6 General Surgeons per 100,000 people in rural areas
- ♦ 67.2 General Surgeons per 100,000 people in urban areas
- 10.1% of the rural population is within 45 minutes of a trauma center

TRAUMA SYSTEMS AND CENTERS

- Illinois (1966) and Maryland (1991) developed nation's first statewide trauma networks
- ♦ First trauma centers established in 1966 in Chicago and in San Francisco in 1972
- ◆ The preventable death rate from trauma is reduced from 33% to 7% when patients go to a trauma center
- ♦ Trauma centers reduce the preventable death rate

TRAUMA CENTERS IN NEW YORK

- ♦ Bellevue Hospital is the oldest public hospital 1736
- ♦ The world's first catastrophe hospital 1941
- ♦ First ICU in a public hospital
- ♦ Emergency Services for the President and visiting dignitaries when they are in NYC

DEVELOPMENT OF THE NEW YORK TRAUMA SYSTEM

- The DOH recognized the need for New York to have a trauma system
- NYS trauma experts were polled & agreed that a NYS trauma system was important and needed
- The DOH facilitated a meeting of experts trauma surgeons, emergency medicine physicians and nurses

- DOH informed hospital representatives and stakeholders that a State Trauma Advisory Committee was being formed
- ♦ NYC had a 911 trauma designation system
- ◆ The rest of NY did not have any designation system
- ◆ The initial focus was to be on Upstate then incorporate NYC into the process

DEVELOPMENT OF THE SYSTEM

- David Axelrod, MD was the Commissioner of Health
 - Felt that state oversight would help identify and remove negligent or incompetent MDs
 - Felt that DOH was best suited for this task
 - Felt that public reporting of outcomes data would spur MDs and hospitals to perform better $\,$

DEVELOPMENT OF THE SYSTEM

- ♦ 1987 NYS developed the formal system of trauma care
- Minimal standards for trauma center designation were written – 708.5
- The regulations were <u>based</u> on the then current edition of Resources for the Optimal Care of the Trauma Patient but they were modified significantly

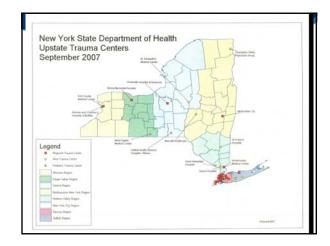
- Trauma Center regulations were completed and were designated to as the 708.5 regulations
- Regional and Area Trauma Center designations were created
- The registry software was supplied only to the Regional and Area Trauma Centers

DEVELOPMENT THE SYSTEM

- A HRSA grant of \$1.5 million was obtained to support the program
- The grant was to last for 3 years
- The grant was intended to be seed money for states to develop a trauma system
- The state was expected to continue funding after the grant expired

DEVELOPMENT OF THE SYSTEM

- 8 regions were created in NYS
- Any hospital could qualify
- 36 hospitals were initially designated
- ◆ 1990 saw DOH provide funding to continue development of the trauma system through a HRSA grant
- DOH designated lead facilities based on a competitive RFP





- ◆ A State Trauma Registry was purchased by DOH Trauma One developed by Lancet Technologies
- Trauma centers and non-trauma centers would submit data
- The grant funded the purchase of the registry and data collection (people)
- ♦ All hospitals in NYS would "submit" data

- A statewide trauma registry began data collection in 1993
- Registry data included all DOAs, all DIEs, and inpatient admissions ICD codes 800 to 959
- The registry was one of three population based registries in the United States

STAC

- ◆ 1991 DOH selected members who had helped write the regulations to serve as the State Trauma Advisory Committee (STAC)
- ♦ Members came from the 8 regions of the state
- The charge was for the committee to assist the DOH in the Appropriateness Review in evaluating applications for designation

STAC

- ◆ The STAC members were appointed by the Commissioner of DOH and then the Governor
- ◆ The STAC was to provide clinical guidance and assist the School of Public Health in data analysis
- ♦ The STAC was an advisory body to the Commissioner
- ♦ SPH was the data repository

NEW YORK TRAUMA CENTERS

- The DOH designated trauma centers after reviewing the applications
- There was no verification process during the application process
- The STAC felt strongly that verification was an integral component of the designation process

NEW YORK TRAUMA CENTERS

- The first trauma center surveys were conducted in 1994
- 15 centers were surveyed
- Surveyor teams were composed of a trauma surgeon, an EM physician and a trauma nurse coordinator
- The HRSA grant supported the surveys

PROCESS IMPROVEMENT

- The first report of the NYS Trauma System was published in 1994
- Analyzed data from 1991 to 1994
- Data analyzed from SPARCS
- SPARCS data lags calendar year by 18 months
- SPARCS was used to confirm that all appropriate trauma cases were included in the NYS registry

- A complete data set was necessary because the intent was to publicly disseminate hospital and physician specific results
- ♦ Data entered by trauma centers was not used
- ♦ ICISS
- $\ \, \ \, \ \, \ \,$ This was opposed by the surgeons, HANYS and GNYHA
- ♦ Not all data was properly coded

STATE DATA ANALYSIS

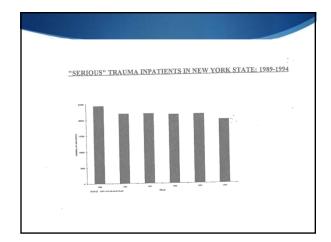
- ♦ Risk adjustment inpatient mortality rates were calculated
- Difference in inpatient mortality (Area Centers had lower mortality rate)
- Probably due to the nature of transfers to Regional Centers

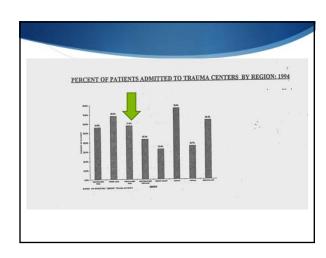
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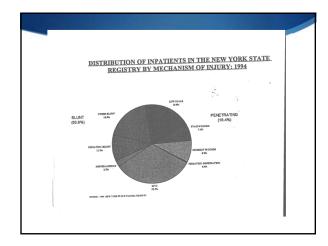
- Data analysis showed weaknesses in care at individual trauma centers and in regions
- "Competition" in the market place forced hospitals to improve their support for trauma care
- The data made the DOH aware of the gaps in trauma coverage in the state
- ♦ The DOH realized that "not all hospitals are created equal"

DATA ANALYSIS

- Some community hospital trauma centers did not meet 708.5 medical staff criteria
- Some university and community hospitals did not meet 708.5 criteria for support staff
- EMS providers did not consistently take trauma patients to a designated trauma center
- Some non-trauma centers "courted" EMS providers to continue to bring trauma patients to them







1994 to 1995

- ♦ 1994 1995 saw an increase in trauma center admissions from 48.3% to 59.1%
- ♦ The inpatient mortality rate decreased from 34.6% to 31.8%
- Inpatient mortality for ISS 16 to 24 decreased by 11% (7.9% to 7%)
- Inpatient mortality for ISS 1 to 14 decreased by 22.9% (3.5% to 2.7%)

1994 to 1995

- ♦ 33.9% of the patients were from NYC
- None of the other seven regions had more than 11% of the total trauma population
- ♦ 87% had blunt mechanism of injury
- ♦ MVC accounted for 29.8%
- ♦ 12.3% were pediatric patients
- ◆ GSW accounted for highest mortality (12.4%)

1994 to 1995

- ♦ 18% of ED deaths occurred in Regional Centers
- ♦ 39% of ED deaths occurred in Area Centers
- There was a great deal of concern since Regional centers did not appear to result in improved survival

1994 to 1995

- This was the first documentation that a Regional Center (Level I equivalent) had a different patient population
- ♦ Unfair to compare Regional Centers to all other hospitals
- RAMR maybe misleading because injury severity may not be accurately estimated

1994 to 1995

- Statistical models were developed for MVC, low falls and other blunt injuries
- Allowed prediction of the probability of dying in the hospital as a function of common risk factors such as ISS, GCS, RR and SBP
- SPH was trying to develop a model that would not need a complete registry

1994 to 1995

- Regional Centers tend to have sicker patients triaged to them
- The data is valuable in assessing and improving the quality of trauma care
- The trauma registry was recognized as quality improvement tool by the state

LOSS OF DIRECTION

- ♦ No report issued from 1996 to 2002
- ♦ Problems with funding
 - Grant expired
 - ♦ BEMS maintained funding through Dormitory Fund
 - ♦ Use of the Dormitory Fund was eliminated by auditor
 - ♦ New Governor George Pataki
 - ♦ New Director for DOH Antonio Novello, MD
 - New DOH initiatives

LOSS OF DIRECTION

- ♦ Loss of coordinators and registrars
- ◆ Loss of comprehensive data base − non-center data was difficult to obtain
- ◆ Dependence on SPARCS to verify registry data
- Paper by Reilly from Kings County questioned the interpretation of SPH and BEMS

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LOSS OF DIRECTION

- ♦ Centers dropping out of the system
- No verification visits
- New applications
- Decreasing trauma center volumes
- Frequent change in trauma program staff directors, coordinators and registrars
- Outdated appropriateness review standards

LOSS OF DIRECTION

- Registry support lost and now multiple registries used Trauma One, NTRACS and Image Trend
- ♦ Data submitted to NTDB by all registries
- ♦ DOH and SPH release report for 1999 to 2002 in 2006
- ◆ Mortality for MVC decreased to 8.44% compared to national average of 15.42%

LOSS OF DIRECTION

- Two regions collected inclusive data CNY (Upstate) and Suffolk (Stony Brook)
 - Due to determination of trauma coordinators
 - SPH did not analyze community data from registry
 - RTACS in these two regions were functional and focused on regional QI
 - Some community hospitals were reluctant to allow data submission but were persuaded to continue

SURVIVAL OF THE SYSTEM

- ◆ STAC was not a statutorily recognized body in the DOH
- ♦ High turnover in STAC membership
- ♦ New trauma center in the Bronx
- ♦ New Executive Committee
- ♦ New BEMS liaison

SURVIVAL OF THE SYSTEM

- ◆ September 11, 2001
- ♦ 2002 HRSA and ACS-COT published *Model Trauma* System Planning and Evaluation
- ♦ 2006 IOM The Future of Emergency Care in the US Health Care System
- Public Health model
- ♦ New recognition that trauma care was important

PUBLIC HEALTH MODEL FOR TRAUMA CARE



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PUBLIC HEALTH MODEL FOR TRAUMA CARE

- ♦ The public health principles :
 - Prevent epidemics and spread of disease
 - Protect against environmental hazards
 - Prevent injuries
 - Promote and encourage healthy behaviors
 - Respond and assist communities when disaster strikes
 - Assure quality and accessibility of health services

NEW LIFE

- New Executive Committee members brought new perspectives and enthusiasm
- ♦ The NYS Trauma System and STAC not statutorily recognized
- NYS ACS chapter and changed from a 503(c) organization to a taxable organization so that lobbying was legal
- ATS

NEW LIFE

- Focused lobbying efforts by ACS and ATS
- Support from both Democratic (Assembly) and Republican (Senate) Health Committee Chairs
- The first two attempts at moving legislation from the Committees to floor were unsuccessful
 - Budget issues
 - ♦ Lack of understanding

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NEW LIFE

- ◆ State Hospital Review and Planning Council (SHRPC) became involved with a NYC issue – an additional trauma center in the Bronx
- SHRPC requested STAC perform a review of the NYC Trauma System
- ♦ NYS had never performed a systems review
- ♦ 2005 saw article 30B passed as Emergency Medical, Trauma and Disaster Care Act

NEW LIFE

- ♦ A revision of 708.5 was attempted
- ♦ Verification review visits were resumed
- ♦ Efforts made to have more current state reports
- NYC trauma centers were lobbying for de-designation of facilities that did not meet the current standard or were redundant

NYC REVIEW

- ♦ First systems review by DOH
- ♦ Determine if there is a high quality of trauma care in NYC
- Determine the number of trauma centers required for NYC
- ♦ Assessment of accessibility to trauma care in NYC

NYC REVIEW

- High quality care is provided in NYC but cannot comment on uniformity
- ♦ 25% of care is provided by non-trauma centers
- ◆ Did not determine how many centers were needed
- ◆ Trauma care is accessible to all patients except in southern Kings County

NYC REVIEW

- DOH accepted the view that trauma care is a public health problem
- Problems with trauma patients going to non-centers and lack of outcome data
- Inability to determine if there were too many trauma centers in NYC
- ♦ Conflict among stakeholders FDNY, GNYHA, HHC

NEW LIFE

- ♦ 1999 to 2004 report released in 2006
- ◆ Data was stale
- Users of the report (legislature and DOH) were unhappy with time delay
- ♦ Findings were helpful in determining the direction the system should take

2012

- Meeting with the Commissioner of Health, BEMS, senior DOH members and STAC
- $\buildrel \bullet$ The need for support from state to STAC to complete revisions of 708
- ♦ The option of using VRC verification was discussed
- ♦ March 2012 the state decides to use VRC

ACS - COT

- ◆ Level I, II, III and IV centers
- Verification based on the capability of the hospital to support the trauma program
- ♦ Level I and II essentially the same
- ♦ Level III has longer response times
- ♦ Level IV has a trauma team

THE NEED FOR MORE TRAUMA CENTERS

- ♦ Large areas of state without trauma care
- ♦ Reduced number of general surgeons
- ♦ Lack of infrastructure
- Hospital cost

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THE FUTURE

- ♦ New report is to be released
- ♦ Goal is to maintain and improve outcomes
- Provide adequate resources for NYS
 - ◆ NYC review revealed 19 neurosurgeons providing care to 19 hospitals
 - Upstate NY has lost Orthopaedic and Neurosurgery coverage centers have closed

THE FUTURE

- ♦ NYS physician deficit issues are mandating a new approach
- ♦ BEMS staffing
- ACS- COT verification process to be considered as the trauma center verification regulations
- ♦ Better trauma care for all New Yorkers